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APPLICATION NUMBER: *09/223,378*
FILING DATE: *December 30, 1998*

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Case Docket No.: 951/15

Jc135 U.S. PTO 09/223378 12/30/98

Sir:

Transmitted herewith for filing is the patent application of

Inventor: ABRAHAM J. DOMB

For : CONCENTRATE NANODISPERSION FOR THE DELIVERY OF CYCLOSPORIN

Enclosed are:

- ☒ 1 sheets of informal drawing(s).
- ☒ An assignment of the invention to DEXCEL LTD.
- ☐ A certified copy of a _____ application.
- ☐ An associate power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
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Respectfully,

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APPLICATION FOR PATENT

Title: PRECONCENTRATE NANODISPERSION FOR THE DELIVERY OF CYCLOSPORIN

Inventor: Abraham J. Domb

FIELD AND BACKGROUND OF THE INVENTION

The present invention is of a preconcentrate nanodispersion preparation for the delivery of cyclosporin, and in particular, of a preconcentrate nanodispersion preparation which provides a delivery system with high bioavailability of cyclosporin and related substances.

Many dispersion systems are currently in use as, or being explored for use as, carriers of substances, particularly biologically active compounds. These systems are designed to protect the substance from the environment during delivery and to provide a controlled release of the substance to a targeted area. In some cases, the goal is to target specific sites in the body using the dispersion. In other cases, the goal is to prepare a drug carrier system that acts as a reservoir at the site of injection.

Dispersion systems used for pharmaceutical and cosmetic formulations can be categorized as either suspensions or emulsions. Suspensions are defined as solid particles ranging in size from a few nanometers up to hundreds of microns, dispersed in an aqueous or nonaqueous medium using suspending agents. Solid particles include microspheres, microcapsules, and nanospheres.

Emulsions can be defined as dispersions of one liquid in another,

stabilized by an interfacial film of emulsifiers such as surfactants and lipids. Despite their long history, emulsions are used less often today than many other dosage forms due to the inherent instability. Emulsion formulations include water in oil and oil in water emulsions, multiple water/oil/water emulsions, microemulsions, microdroplets, and liposomes.

A microemulsion is a transparent or substantially transparent emulsion which is formed spontaneously or substantially spontaneously when its components are brought into contact. Microemulsions are thermodynamically stable and contain dispersed particles or droplets of a size less than about 200 nm. Generally microemulsions feature droplets or particles having a mean diameter of less than about 150 nm. These particles may be spherical, although other structures are feasible, such as liquid crystals with lamellar, hexagonal or isotropic symmetries. Microemulsions are usually stable over periods in excess of 24 hours.

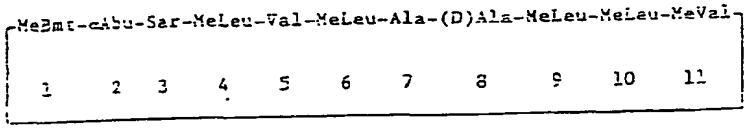
Microemulsions can also be used as a "microemulsion preconcentrate", defined herein as a composition which spontaneously forms a microemulsion in an aqueous medium, for example in water, upon dilution, or in the gastric juices after oral application. Dilution of the microemulsion in water can be for example from about 1:1 fold to about 1:10 fold dilution.

As noted above, while emulsion based delivery systems are useful for certain applications, the delivering vesicles are subject to physical rupture because of the delicate nature of the liquid/membrane/liquid structure. Emulsion based delivery systems also have relatively short

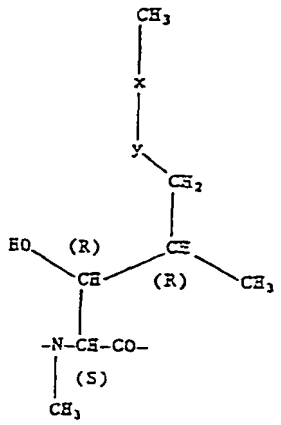
release times. Further, it is difficult to isolate emulsion based vesicles from the aqueous media used for storage for subsequent reconstitution.

In spite of these difficulties, microemulsions have been the only successful delivery systems for certain types of pharmaceutical compounds, particularly compounds such as members of the cyclosporin class, which are cyclic oligopeptides. The cyclosporin class includes substances having pharmaceutical utility, for example as immunosuppressive agents, anti-parasitic agents and agents for the reversal of multi-drug resistance, as known and described in the art. Examples of such cyclosporins include, but are not limited to, Cyclosporin A (also known as and referred to herein as "Ciclosporin"), Cyclosporin G, [0-(2-hydroxyethyl)-(D)Ser]^2-Ciclosporin and [3'-deshydroxy-3'-ket-MeBmt]^1-[Val]^2-Ciclosporin.

The first of the cyclosporins to be isolated was the naturally occurring fungal metabolite Ciclosporin (Cyclosporine). Ciclosporin is the cyclosporin of formula (I):



wherein -MeBmt- represents the N-methyl-(4R)-4-but-2E-en-1-y1-4-methyl-(L)threonyl residue of formula (II):



in which -x-y- is -CH=CH- (trans). Ciclosporin is well known as an immunosupressive agent. In addition, Ciclosporin is being examined for the treatment of autoimmune and inflammatory diseases.

Since the original discovery of Ciclosporin, a wide variety of naturally occurring cyclosporins have been isolated and identified , and many further non-natural cyclosporins have been prepared by total- or semi-synthetic means or by the application of modified culture techniques. The class comprised by the cyclosporins now includes, for example, the naturally occurring cyclosporins A through Z [c.f. Traber *et al.*, *Helv. Chim. Acta.* **60**: 1247-1255, 1977; Traber *et al.*, *Helv. Chim. Acta.* **65**: 1655-1667, 1982; Kobel *et al.*, *Europ. J. App. Microbio. and Biotech.*, **14**: 273-240 (1982); and von Wartburg

et al., *Progress in Allergy*, **38**: 28-45 (1986)], as well as various non-natural cyclosporin derivatives and artificial or synthetic cyclosporins including: the so-called dihydro-cyclosporins, in which the moiety -x-y- of the -MeBmt- residue in Formula (II) above is saturated to give -x-y- of $-CH_2-CH_2-$; derivatized cyclosporins (e.g. in which a further substituent is introduced at the α-carbon atom of the sarcosyl residue at the 3-position of the cyclosporin molecule); cyclosporins in which the -MeBmt- residue is present in isomeric form (e.g. in which the configuration across positions 6' and 7' of the -MeBmt- residue is *cis* rather than *trans*); and cyclosporins in which variant amino acids are incorporated at specific positions within the peptide sequence. Many of these members of the cyclosporin class exhibit pharmaceutical utility which may be comparable to that of Ciclosporin.

Unfortunately, many difficulties have been encountered in the effective administration of Ciclosporin, difficulties which appear to be inherent in the nature of the members of the cyclosporin class. Cyclosporins are characteristically highly hydrophobic, and thus require a lipophilic carrier. The selection of a suitable carrier is particularly critical for the administration of cyclosporins, as the bioavailability of these compounds is known in the art to be highly variable, depending upon the properties of the carrier. Furthermore, these compounds are known to have bioavailability which may vary significantly between individuals. Such variation is particularly dangerous given the side effects of cyclosporins, such as nephrotoxicity. Thus, the

suitable carrier must provide good bioavailability of cyclosporins which is substantially consistent between individuals.

As noted previously, cyclosporins may be administered with a microemulsion carrier. This carrier generally contains a hydrophilic solvent, such as liquid PEG200-600, ethylene or propylene glycol, ethanol or propanol, glycerin, water soluble fatty acid C6-C18 esters of sucrose, dimethylisosorbide, ethyl-acetate, glycofurol (fatty acid derivative of a cyclic polyol), PEG derivatives of tocopherol, or PEG-fatty acid esters; a surfactant, such as Tween 20, various PEG (polyethylene glycol) derivatives or phospholipids; a water insoluble oil such as corn oil and other oils from plants and mixtures of oils; and Cremophor and similar PEG derivatives of castor oil or other fats which are used as an amphiphilic solvent, emulsifier, surfactant and so forth. Unfortunately, none of these background art formulations provides high bioavailability for cyclosporin.

The currently commercially available formulation is disclosed in U.S. Patent No. 5,342,625 to Sandoz A.G. This formulation includes a hydrophilic phase, a lipophilic phase and a surfactant. The hydrophilic phase could be a C_{1-5} alkyl di- or partial-ether of a mono- or poly-oxy-C_{2-12}alkanediol, for example.

PCT Application No. WO 96/13273 to Sandoz describes compositions for cyclosporin and other macrolide drugs such as Rapamycin, containing a hydrophilic phase which includes dimethylisosorbide and/or a lower alkyl alkanoic ester, a lipophilic phase and a surfactant. The particle size after

dispersion can be 200 nm but is preferably 100 nm or less. The hydrophilic phase is PEG, propylene glycol and glycofurol or dimethylisosorbide (a bicyclic ether). The bioavailability of a composition containing cyclosporin and the carrier is not disclosed.

PCT Application No. WO 97/19692, also to Sandoz, describes compositions which are based on PEG-derivatives of saturated hydroxy fatty acids such as PEG-hydroxystearate and a low alcohol such as ethanol or propylene glycol. Again, the bioavailability of such a composition is not disclosed.

PCT Application No. WO 98/33512 to Novartis describes compositions for oral administration of cyclosporin which do not contain oil. Instead, these compositions contain a surfactant with HLB 10 or higher and a hydrophilic phase which is polyethylene glycol and/or a lower alcohol (not more than 12%). The formulations are preconcentrates which provide a particle size of 10 to 150 nm upon dispersion. The disclosed advantage of these compositions is their ability to be stably contained within a hard capsule. However, no specific data is disclosed related to the bioavailability of cyclosporin with this composition. As noted above, the bioavailability of cyclosporin is known to be highly variable, depending upon the carrier.

PCT Application No. WO 97/04795 to POLI Industria describes compositions that must contain one polymer, linear or crosslinked PEG and poly(acrylic) or mixtures thereof and monoesters of fatty acids with a short alcohol. Again, the bioavailability of such a composition is not disclosed.

U.S. Patent No. 5,756,450 to Novartis describes solid formulations for cyclosporin composed of a water soluble monoester of a fatty acid C6-C18 with a polyol, for example a saccharide such as Saccharose monolaurate or raffinose monolaurate. This solvent can be used in combination with other water soluble solvents including PEG, ethanol, ethylene glycol and glycerin. The examples describe solid solutions (powder) of Cyclosporin in saccharose monooleate which is completely soluble in water. Again, the bioavailability of such a composition is not disclosed.

U.S. Patent Nos. 5,603,951 and 5,639,474 to Hanmi Pham. describe compositions of dimethylisosorbide as a cosurfactant and a primary alcohol, medium chain triglycerides and a surfactant having a HLB value of 10 to 17 such as Tween 20, formulated in soft gelatin capsule. The particle size is about 100 nm. Again, the bioavailability of such a composition is not disclosed.

U.S. Patent No. 5,583,105 to Biogel describes cyclosporin formulations composed of PEG esters of tocopherol and a lipophilic solvent, an amphiphilic solvent and ethanol. Again, the bioavailability of such a composition is not disclosed.

U.S. Patent No. 5,614,491 to Dr. Rentschler GmbH, describes formulations of PEG fatty acid monoesters as emulsifying agent and a polyol as solvent. U.S. Patent No. 5,798,333 to Sherman describes formulations composed of Tocophersolan and a polyhydric alcohol. Tocophersolan is a water soluble surfactant which dissolves cyclosporin only at a 7:1 ratio.

U.S. Patent No. 5,827,822 to Sangstat describes formulations of alcohol and a PEG surfactant forming particle size between 200 and 400 nm.

European Patent Application No. EP 0760237 A1 to Cipla describes a composition containing: vegetable oil triglycerides (castor, peanut, or coconut oil), phospholipid, a surfactant (Tween 20, polyoxyl-40-hydrogenated castor oil), and a hydrophilic solvent, propylene glycol. Again, the bioavailability of cyclosporin administered with such a composition is not disclosed.

None of these disclosed background art carrier formulations features a hydrophilic solvent which is a lower alkyl ester of hydroxyalkanoic acid, such as ethyl lactate or N-methyl pyrrolidone. Moreover, none of these disclosed background art carrier formulations features a combination of a surfactant with high HLB and a surfactant with low HLB. Furthermore, none of these background art carrier formulations is disclosed as having high bioavailability. Thus, the background art carrier formulations do not appear to possess the advantageous high bioavailability of the present invention, as described in greater detail below.

There is thus an unmet need for, and it would be useful to have, a composition for the administration of cyclosporins, particularly for oral administration, which would provide a high bioavailability, and which would preferably contain a hydrophilic solvent which is a lower alkyl ester of hydroxyalkanoic acid, and a surfactant which is preferably a combination of a surfactant with high HLB and a surfactant with low HLB.

SUMMARY OF THE INVENTION

The present invention is of a novel formulation for the administration of a cyclosporin. This formulation features a hydrophilic solvent which is characterized by being a lower alkyl ester of hydroxyalkanoic acid; and a surfactant, preferably a combination of a surfactant with a high HLB (hydrophilic/lipophilic balance) of at least about 8 and a surfactant with a low HLB of less than about 5.

Other ingredients are optional, such as a fatty acid ester such as tricaprin, a phospholipid, and an ethoxylated fat such as Cremophor or another similar substance.

The preferred mean diameter of the particle of the resultant formulation is less than about 100 nm, more preferably less than about 50 nm, and most preferably from about 5 nm to about 30 nm.

Hereinafter, the term "nanodispersion" includes those compositions featuring droplets or particles having a mean diameter of less than about 150 nm. Hereinafter, the term "nanodispersion preconcentrate" refers to a composition which spontaneously forms a nanodispersion in an aqueous medium, for example in water upon dilution, or in the gastric juices after oral application. Dilution of the nanodispersion preconcentrate in water can be for example from about 1:1 fold to about 1:10 fold dilution.

BRIEF DESCRIPTION OF THE DRAWING

The invention is herein described, by way of example only, with reference to the accompanying drawing, wherein:

FIG. 1 is a graph of cyclosporin blood concentration after oral administration of 4 capsules of 50 mg cyclosporin in the preconcentrate nanodispersion formulation of the invention.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is of a novel formulation for the administration of a cyclosporin. This formulation features a hydrophilic solvent which is characterized by being a lower alkyl ester of hydroxyalkanoic acid; and a surfactant, preferably a combination of a surfactant with a high HLB (hydrophilic/lipophilic balance) of at least about 8 and a surfactant with a low HLB of less than about 5.

Other ingredients are optional, such as a fatty acid ester such as tricaprin, a phospholipid, and an ethoxylated fat such as Cremophor or another similar substance.

Preferably, the composition of the present invention does not include an alcohol such as ethanol.

The preferred particle size of the resultant formulation is less than about 100 nm, more preferably less than about 50 nm, and most preferably from about 5 nm to about 30 nm.

As described in greater detail below, the combination of these components has unexpectedly been shown to provide higher bioavailability than had been previously shown for formulations of cyclosporin.

The present invention may be more readily understood with reference to the following illustrative examples. It should be noted that reference is made generally to "cyclosporin", indicating any member of the cyclosporin class having pharmaceutical efficacy. The particularly preferred member of the cyclosporin class is Ciclosporin (Cyclosporin A). The preparation of the microemulsion compositions of the present invention is described first with reference to the following general description and then with reference to the following non-limiting examples of the preparation and application of the compositions of the present invention.

Hydrophilic Solvent

First, as noted previously, a suitable hydrophilic organic solvent must be selected. The solvent is preferably selected from the family of lower alkyl esters of hydroxyalkanoic acid or from the family of lower alkyl esters of N-alkyl pyrrolidone. Hereinafter, the term "lower alkyl" includes C_1 to C_4, for example ethyl. The preferred hydrophilic solvents of the present invention are C1-4 alkyl-hydroxy alkanoic acid ester, or N-C1-4 alkyl pyrrolidone. More preferably, the hydrophilic solvent is selected from the group consisting of ethyl lactate or N-methyl pyrrolidone.

Ethyl lactate (2-hydroxypropanoic acid ethyl ester), is a colorless liquid which is miscible with water, alcohol and ether. Ethyl lactate is considered to be suitable for human administration, with an LD_{50} which was higher than 5 g/kg in mice when given an oral dose. N-methyl pyrrolidone is a colorless liquid which is miscible with water and organic solvents, and is also considered to be safe for human administration. N-methyl pyrrolidone is used in the clinic as a solvent for a polymeric *in situ* implant to treat gingivitis.

Alternatively and more preferably, a combination of a solvent selected from the family of lower alkyl esters of hydroxyalkanoic acid and a solvent selected from the family of lower alkyl esters of N-alkyl pyrrolidone is employed. Optionally, any of these solvents can be combined with other hydrophilic organic solvents such as ethylene glycol, glycofurol or PEG 400. These hydrophilic solvents have not been previously taught or suggested as being suitable for cyclosporins.

Surfactant

Second, a suitable surfactant must be selected. The surfactant is preferably a combination of a surfactant with a high HLB (hydrophilic/lipophilic balance) of at least about 8 and a surfactant with a low HLB of less than about 5. The term "HLB" refers to the hydrophilic/lipophilic balance of a surfactant. A surfactant with high HLB is hydrophilic, while a surfactant with low HLB is hydrophobic. Therefore, the combination of a surfactant with high HLB and a surfactant with low HLB, as is preferred for the

compositions of the present invention, is actually a combination of a hydrophilic surfactant and a hydrophobic surfactant. This combination has never been taught or suggested in the background art as being suitable for a pharmaceutical carrier for cyclosporins. Where the HLB of the surfactant has been specified in the background art, it has been given in the range of 8 to 20, which is clearly different from the combination of surfactants taught herein. Thus, the compositions of the present invention can be clearly differentiated from those taught in the background art on the basis of the preferred combination of a surfactant with a low HLB and a surfactant with a high HLB.

Particularly preferred combinations of these surfactants feature a large difference between the HLB of the low HLB surfactant and that of the high HLB surfactant. Therefore, one example of such a particularly preferred combination is a combination of Tween 20 and Span 80, although of course other such combinations could be also be used.

Span hydrophobic surfactants are a group of sorbitan fatty acid esters such as sorbitan monooleate, sorbitan monopalmitate, sorbitan monostearate, sorbitan tristearate, sorbitan monooleate, sorbitan trioleate and sorbitan monolaurate (Fiedler, H.P., "Lexikon der Hilfsstoffe fur Pharmazie, Kosmetic und Angrenzende Gebiete", Editio Cantor, D-7960 Aulendorf, 3rd edition, 1989, pages 1139-1140). Span 80 is an example of a low HLB surfactant, with an HLB of 4.3, and is sorbitan monooleate. They are commercially available from various producers, which include but are not limited to, Capital City Products, Croda Chem, ICI, Lippo Chem. and Atlas, under various commercial

names: Arlacel™, Armotan™, Crill™, Emsorb™, Liposorb™, Protachem™, and Sorbester™.

Examples of suitable surfactants from this group, with HLB values given in parentheses, are as follows: Span 60 (4.7), Span 65 (2.1), Span 80 (4.3), Span 85 (1.8), Arlacel 83 (3.7), Arlacel C (3.7), Arlacel 85 (1.8), Arlacel 80 (4.3), and Arlacel 60 (4.7). These molecules are generally soluble in oil. They are also soluble in most organic solvents. In water they are generally insoluble but dispersible. Other low HLB surfactants include but are not limited to PEG-6 glyceryl monooleate (HLB of about 3 or 4), and propylene glycol laurate (HLB of 4).

Tween hydrophilic surfactants (Polysorbates) are a family of PEG sorbitan esters (polyoxyethylene-sorbitan-fatty acid esters), for example mono- and tri-lauryl, palmityl, stearyl and oleyl esters of the type known and commercially available under the trade name Tween (Fiedler, H.P., "Lexikon der Hilfsstoffe fur Pharmazie, Kosmetic und Angrenzende Gebiete", Editio Cantor, D-7960 Aulendorf, 3rd edition, 1989, pages 1300-1304). Tween 20 (polyoxyethylene(20)sorbitan monolaurate) has an HLB of 16.7. Other types of Tween surfactants may also be useful for the compositions of the present invention.

Tween surfactants are soluble in water but not in oil. The chemical structure of this family of surfactants features one, two or three short PEG chains, generally of about 5 to 20 ethylene glycol units, connected by an ester bond to sorbitan. These surfactants are produced by various companies (Croda,

ICI, Sandoz, Mazer, Atlas) and may appear under various trade names, besides Tween: Sorlate, Monitan, Crillet and so forth. Members of this family which are polysorbates 20, 21, 0, 60, 61, 65, 80 and 85 have an HLB between 11 and 16.7, and therefore would be suitable for the present invention as high HLB surfactants.

Other suitable high HLB surfactants may be obtained from manufacturers such as Gattefosse Ltd., and include but are not limited to, sucrose fatty acid esters such as saccharose monopalmitate (HLB of 15) and saccharose monostearate (HLB of 11), or PEG-32 glyceryl laurate (HLB of 14). Suitable high HLB nonionic surfactants are polyethylene glycol (PEG) n-alkanol esters of the Brij family such as Brij 35, 56, 58, 76, 78, and 99 which have an HLB in the range of 12.4 to 16.9. Brij 56 is polyoxyethylene[10] cetyl ether and is an example of such a high HLB surfactant which can be substituted for Tween 20 or Cremophor. Brij 56 has an HLB of 12.9.

Phospholipid (optional)

Next, various optional ingredients should be selected. One example of an optional ingredient is a phospholipid. A phospholipid is a phosphorylated diacylglyceride molecule or its derivative. The parent structure is diacylglycerol phosphate, or phosphatidic acid. Phosphatidyl choline (lecithin) is the choline ester of phosphorylated diacylglyceride. Synthetic lecithin are available with acyl chain lengths ranging from 4 to 19 carbons. The preferred lecithins for biological applications are those with alkyl chain lengths in the biological range

(10 to 18 carbons). Naturally occurring lecithin can be obtained from a variety of sources such as egg, bovine heart, or soy bean. Unsaturated lecithins (dioleoyl; dilinoleoyl; alpha-palmitoyl, beta oleoyl; alpha palmitoyl, beta linoleoyl; and alpha oleoyl, beta palmitoyl), dianachidonyl lecithin (highly unsaturated and a prostaglandin precursor), and alpha palmito beta myristoyl lecithin are also available.

Certain phospholipids, such as phosphatidic acid, phosphatidyl serine, phosphatidyl inositol, cardiolipin (diphosphatidyl glycerol), and phosphatidyl glycerol, can react with calcium in serum, causing aggregation or the binding of lipospheres to cell membranes. These unfavorable reactions can be minimized by combining these phospholipids with non-calcium binding phospholipids such as phosphatidylcholine. Phosphatidic acid can be isolated from egg or prepared synthetically (dimyristoyl, dipalmitoyl and distearoyl derivatives are available from Calbiochem). Bovine phosphatidyl serine is also available commercially (Sigma Chemical Co., St. Louis, Mo.). Phosphatidyl inositol can be isolated from plant or bovine sources. Cardiolipin can be purified from bovine or bacterial sources. Phosphatidyl glycerol can also be purified from bacterial sources or prepared synthetically.

Phosphatidyl ethanolamine in the pure state self-aggregates in a calcium-independent fashion, and is believed to have strong tendencies to aggregate with cell membranes, should be used in combination with non-aggregating phospholipids. Phosphatidyl ethanolamine is commercially

available, isolated from egg, bacteria, bovine, or plasmalogen or as the synthetic dioctadecanoyl, dioleoyl, dihexadecyl, dilauryl, dimyristoyl and dipalmitoyl derivatives.

Ethoxylated fat (optional)

Another optional ingredient is an ethoxylated fat. These ethoxylated fats may be reaction products of a natural or hydrogenated castor oil and ethylene oxide. The natural or hydrogenated castor oil may be reacted with ethylene oxide in a molar ratio of from about 1:35 to about 1:60, with optional removal of the polyethyleneglycol component from the products.

One example of a particularly preferred suitable, commercially available ethoxylated fat is Cremophor EL, which is one of a group of polyethyleneglycol-hydrogenated castor oils. Other members of this group, such as Cremophor RH 40 and Cremophor RH 60, may also be suitable.

Similar or identical products which may be used are available under the trade names NIKKOL (e.g. NIKKOL HCO-40 and HCO-60), MAPEG (e.g. MAPEG CO-40h), INCROCAS (e.g. INCROCAS 40) and TAGAT (for example polyoxyethylene-glycerol-fatty acid esters such as TAGAT RH 40; and TAGAT TO, a polyoxyethylene-glycerol-trioleate having an HLB value of 11.3).

Fatty Acid Ester (optional)

Yet another optional ingredient is a fatty acid ester such as tricaprin. Tricaprin is a hydrophobic triester of glycerol and caproic acid. Tricaprin does not dissolve in water and thus remains as a component of the dispersed cyclosporin-loaded particles after dispersion in aqueous solution. Tricaprin solubilizes cyclosporin in a fatty medium which is dispersed by the hydrophilic-hydrophobic dispersing agents. Other such fatty components which are suitable as replacement for tricaprin include, but are not limited to, pure and mixed alkyl esters of fatty acids and mixtures thereof. Examples include but are not limited to ethyl esters of fatty acids such as ethylstearate and ethylpalmitate triglycerides such as trilaurin and trimyristin. Mixtures of fats include hydrogenated vegetable oils. The preferred fats are those that solubilize cyclosporin with a melting point between 25 and 37 °C, such that the resultant preconcentrate formulation forms a nanodispersion of solid particles which melt into an emulsion at body temperature.

The following specific examples illustrate various aspects of the present invention, and are not intending to be limiting in any way. For all experiments described below, unless otherwise stated, the particle size of the preconcentrate was measured with an N4-Coulter particle size analyzer, suitable for submicron particle size determination. Three drops of the preconcentrate were added to five milliliters of water. The particle size of the preconcentrate did not change when the preconcentrate was dispersed in five milliliters of 0.1N HCl solution.

The member of the cyclosporin class which was used for the experiments described below was Ciclosporin (Cyclosporin A).

Example 1
Effect of Solvent on Particle Size

An exemplary composition containing Ciclosporin, solvent, TRC (tricaprin), egg phospholipid (Avanti, USA), Tween 20, Span 80 and Cremophor was prepared with increasing amounts of ethyl lactate or N-methylpyrrolidone, as given in Table 1 (all amounts of ingredients are given in milligrams). The effect of adding increasing amounts of these ingredients to the composition of the present invention on (mean) particle size is also given in Table 1. Briefly, all compositions which contained either ethyl lactate or N-methylpyrrolidone had a particle size of less than 100 nm. The particle size decreased as the amount of either ethyl lactate or N-methylpyrrolidone was increased. Ethyl lactate was generally more effective than N-methylpyrrolidone for providing particles of a smaller size. The addition of ethylene glycol (as in Formulation 9), propylene glycol or liquid polyethylene glycol (PEG 200-600) to the formulations containing either ethyl lactate or N-methylpyrrolidone did not increase the particle size to greater than 100 nm.

Table 1: Effect of Solvent on Particle Size

Ingredient	Formulation Number								
	1	2	3	4	5	6	7	8	9
Ciclosporin	100	100	100	100	100	100	100	100	100
ethyl lactate	0	100	200	400	0	0	100	200	200
N-methyl pyrrolidone	0	0	0	0	200	400	100	200	200
phospholipid	70	70	70	70	70	70	70	70	70
Tween 20	270	270	270	270	270	270	270	270	270
TRC	130	130	130	130	130	130	130	130	130
Span 80	100	100	100	100	100	100	100	100	100
Cremophor EL	300	300	300	300	300	300	300	300	300
particle size	189	92	42	28	82	57	88	39	31

Example 2
Effect of Surfactant on Particle Size

An exemplary composition containing Ciclosporin, solvent, egg phospholipid (95% pure from Avanti, USA), ethyl lactate, Tween 20 and Cremophor was prepared with increasing amounts of Span 80, as given in Table 2 (all amounts of ingredients are given in milligrams). The effect of adding increasing amounts of Span 80 to the composition of the present invention on (mean) particle size is also given in Table 2. Briefly, the compositions provided a liquid solution. When dispersed in deionized water, all compositions which contained Span 80 had a particle size of less than 100 nm. The particle size decreased as the amount of Span 80 was increased.

Table 2: Effect of Surfactant on Particle Size

Ingredient	Formulation Number				
	1	2	3	4	5
Ciclosporin	100	100	100	100	100
ethyl lactate	300	300	300	300	300
phospholipid	50	50	50	50	50
Tween 20	200	200	200	200	200
Span 80	0	50	100	200	300
Cremophor EL	400	400	400	400	400
particle size	155	88	54	32	28

Example 3

Effect of Other Ingredients on Particle Size

Different compositions containing Ciclosporin were prepared as described in Table 3 (all amounts of ingredients are given in milligrams). The effect of these ingredients on the particle size of the preconcentrate solution when dispersed in water is also given in Table 3. Briefly, compositions which had both low and high HLB surfactants (such as Tween or Cremophor and Span) had a particle size of less than 100 nm. Tween and Cremophor can be substituted for each other as high HLB solvents (HLB>10) but a certain amount of either surfactant is required to obtain a suitable particle size, depending upon the quantities of the other components. In addition, the presence of a solvent such as ethyl lactate is required. A lipid such as tricaprin is clearly preferred. The presence of a phospholipid is also preferred to obtain a particle size in the range of 30 nm, although the particle size remained below 100 nm even without

the phospholipid, as for Formulation 3, in which no phospholipid was added but the particle size was 95 nm.

Table 3: Effect of Other Ingredients on Particle Size

Ingredient	Formulation Number									
	1	2	3	4	5	6	7	8	9	10
Ciclosporin	100	100	100	100	100	100	100	100	100	100
ethyl lactate	400	200	400	400	400	400	400	600	400	400
phospholipid	100	100	0	100	100	100	100	100	100	100
Tween 20	200	200	200	200	0	200	200	200	400	0
TRC	200	200	200	200	200	0	200	200	200	200
Span 80	200	200	200	0	200	200	200	200	200	200
Cremophor EL	200	200	200	200	200	200	0	200	0	400
particle size	28	30	95	187	182	230	340	32	78	64

Example 4
Effect of Low HLB Surfactant on Particle Size

Compositions containing Span 80 as an example of a low HLB surfactant was prepared by dissolving the components into a liquid at room temperature. The (mean) particle size is given in Table 4 (all amounts of ingredients are given in milligrams). Briefly, tricaprin could be substituted with other triglycerides and oil mixtures such as medium chain triglycerides (MCT). Brij is a group of polyoxyethylene alcohol ethers. Brij 56 is polyoxyethylene[10] cetyl ether and is a high HLB surfactant which can be substituted for Tween 20 or Cremophor. Brij 56 has an HLB of 12.9.

Table 4: Effect of High HLB Surfactant on Particle Size

Ingredient	Formulation Number						
	1	2	3	4	5	6	7
Ciclosporin	100	100	100	100	100	100	100
ethyl lactate	400	400	400	400	0	400	400
N-methyl pyrrolidone	0	0	0	0	400	0	0
phospholipid	70	70	70	70	70	70	70
Span 80	270	0	270	270	270	270	270
Tween 20	0	270	270	0	0	0	270
Brij 56	0	0	0	270	270	270	270
TRC	130	130	130	130	130	0	130
MCT	0	0	0	0	0	130	0
Cremophor EL	400	400	400	400	400	400	0
particle size	56	197	25	29	55	48	83

Example 5
Selection of Preferred Formulation

The preferred formulations, 5 and 8, were selected from the formulations in Table 5 (all amounts of ingredients are given in milligrams). These formulations had the smallest particle size (in the range of about 30 nm).

Table 5: Preferred Formulations

Ingredient	Formulation Number							
	1	2	3	4	5	6	7	8
Ciclosporin	100	200	100	100	100	100	100	100
ethyl lactate	400	800	400	400	400	400	400	400
phospholipid	70	140	70	70	100	70	70	100
Span 80	270	540	270	270	270	150	200	200
Tween 20	270	540	270	270	270	150	200	200
TRC	130	260	130	130	200	130	130	200
Cremophor EL	400	800	100	200	0	0	0	0
Cremophor HR	40	0	0	0	200	200	200	200
particle size	41	55	68	42	23	75	52	29

Example 6
Storage Stability of Preferred Formulation

One composition was prepared at two different total quantities (all amounts of ingredients are given in milligrams). At the first volume, the composition contained 400 Ciclosporin, 1600 ethyl lactate, 400 phospholipid, 800 Span 80, 800 Tween 20, 800 TRC and 800 Cremophor HR. At the second volume, the amount of each ingredient was ten-fold larger. Both compositions were easily prepared by dissolving all components to a liquid solution by mixing at room temperature. Preferably, Ciclosporin was first dissolved in ethyl lactate, and then all other components were added with continuous mixing. The mean particle size of the composition was measured after dispersion of different amounts of the composition in deionized water by using an N4 particle size analyzer. Both volumes of the composition had a particle size below 30 nm which is preferred. This composition was used for human studies, as described in greater detail below.

Table 6: Dispersion in Water

	Drops of composition/ml of water			
particle size	3 drops/5 ml	3 drops/5 ml	10 drops/5 ml	20 drops/5 ml
first test	37	22	18	18
second test	22	21	17	17
third test	19	24	18	17

The stability of the composition was tested by loading doses of 50 mg of Ciclosporin into hard gelatin capsules (size 00) or in glass containers, and then storing the composition at room temperature (25 °C) or at refrigeration (4 °C). The particle size and the Ciclosporin content was determined after 3 and 6 months of storage. All samples were found to have a particle size in the range between 17.2 and 32.6 at any dispersion range (3 to 20 drops per 5 ml). As calculated from the peak size after analysis by HPLC (high pressure liquid chromatography), the Ciclosporin content for all stored formulations was in the range of 95 to 104% of the initial concentration.

Example 7
Analysis of Preferred Formulation

The composition of Example 6 was prepared 5 times independently for 400 mg Ciclosporin. The particle size, Ciclosporin content, the morphology of the formed particles and the melting point of the particles was determined. The bioactivity of the Ciclosporin formulation on T-cells was also determined.

The particle size of all formulations ranged between 18 to 29 nm when dispersed in deionized water or 0.1 N HCl solution. The particles were viewed by Transmission Electron Microscope (TEM) at high magnification. Spherical particles with a narrow size distribution in the range of 30 nm were observed. The melting point of the particles was determined by differential scanning calorimeter (DSC) and was found to be in a temperature range of from 30 to 35 °C. The composition was highly effective at inhibiting the activity of T-cells.

The results clearly indicate the superior stability, reproducibility and efficacy of the preferred formulation.

Example 8

Effect of Ciclosporin Content on Preferred Formulation

The composition of Example 6 was prepared with increasing amounts of Ciclosporin and the particle size was determined. The results, shown in Table 7, are an average of five independent experiments (all amounts of ingredients are given in milligrams). The particle size increases as the amount of Ciclosporin is increased above 60 mg in this composition.

Table 7: Effect of Ciclosporin

Ingredient	Formulation Number					
	1	2	3	4	5	6
Ciclosporin	50	55	60	65	70	75
ethyl lactate	200	200	200	200	200	200
phospholipid	50	50	50	50	50	50
Span 80	100	100	100	100	100	100
Tween 20	100	100	100	100	100	100
TRC	100	100	100	100	100	100
Cremophor HR	100	100	100	100	100	100
particle size	28	31	30	56	88	92

The composition containing 50 mg of Ciclosporin was encapsulated into hard gelatin capsules. The capsules were stored at room temperature or at 37 °C and the particle size was determined. The results are shown in Table 8.

Table 8: Stability of Ciclosporin Compositions

Day No.	Particle size (room temp)	Particle size (37 °C)
0	30	30
7	67	24
13	39	26
16	65	33
42	59	33
52	31	28

Example 9
Pharmacokinetic Human Studies

A randomized pilot pharmacokinetic study was undertaken to investigate the pharmacokinetic performance of the composition of the present invention, when compared to the standard commercially available formulation for Ciclosporin (Sandimmune Neoral™, Sandoz A.G.). The formulation of the present invention was tested in capsules containing 50 mg of Ciclosporin. The standard composition was tested with soft gelatin capsules containing 100 mg Ciclosporin in 1000 mg solution. Four capsules of the formulation of the present invention, containing 50 mg of Ciclosporin per capsule, or two capsules of the commercially available formulation, containing 100 mg of Ciclosporin per capsule, were orally administered to six fasting volunteers, for a total dosage of 200 mg of Ciclosporin. Blood samples were then drawn as follows:

0, 0.25, 0.5, 0.75, 1, 1.5, 2, 2.5, 3, 3.5, 4, 4.5, 5, 6, 9, 12, 15 and 24 hours post administration. A one-week washout period separated the two study periods. Plasma concentrations of Ciclosporin were determined by using a standard Tdx method used for monitoring patients receiving Ciclosporin. A curve of concentration vs. time was constructed for each volunteer for each period, as shown in Figure 1 and described in greater detail below. The observed maximal concentration was recorded as Cmax and the area under the curve, AUC, was calculated for each volunteer.

The following formulation of the present invention was studied:

Ingredient	Weight per capsule (mg)	Total weight (Kg)
Ciclosporin	50	0.25
ethyl lactate	200	0.100
Egg phosphatidylcholine	50	0.25
Span 80	100	0.050
Tween 20	100	0.050
TRC	100	0.050
Cremophor HR	100	0.050
total:	700	0.350

The composition was prepared as follows. Ciclosporin, egg phosphatidylcholine and tricaprin were dissolved in a solution of ethyl acetate and Tween 20 by mixing in a beaker at room temperature. The other ingredients were added and mixed to form a clear yellowish liquid. The clear liquid solution (0.350 g) was placed into 500 hard gelatin capsules (size 00). About 10 capsules were taken for particle size determination and Ciclosporin

content. Each capsule contained 700 mg solution (weight range: 675-735 mg) with the corresponding amount of Ciclosporin (47.5 to 52.5 mg/capsule). The particle size of the formulation after dispersion of the contents of one capsule in 10 ml of 0.1 N HCl solution or in deionized water was determined using the N4 particle size analyzer (Coulter). The almost clear dispersion had an average particle size of 28 nm.

The results of the test on human volunteers are shown in Table 9 below.

Table 9: Test on Human Subjects

Formulation	AUC (ng x hour/ml)	Cmax (ng/ml)	Tmax (hours)
present invention (n=6)	5555 ± 842 (4771 - 7147)	1328 ± 216 (990 - 1591)	1.67 ± 0.28 (1 - 3)
standard (n=4)	5221 ± 2200 (2806 - 7784)	1100 ± 259 (790 - 1405)	1.88 ± 0.24 (1.5 - 2.5)

The presented values for all pharmacokinetic parameters are mean ± S.D. and the values in parentheses are the range. The number of volunteers participating in the study is given as *n*. The average blood levels are shown in Figure 1. Figure 1 is a graph of Ciclosporin blood concentration after oral administration of 4 capsules of 50 mg Ciclosporin in the preconcentrate nanodispersion formulation of the invention. The formulation included 50 mg Ciclosporin, 200 mg ethyl lactate, 50 mg egg phospholipid, 100 mg Tween 20, 100 mg TRC, 100 mg Span 80, and 100 mg Cremophor, for a resultant particle

size after dispersion of 28 nm. As a reference, two Sandimmun Neoral™ (Sandoz) capsules, containing 100 mg Ciclosporin total, were administered as a reference. The results shown in Figure 1 are an average of $n=6$ for the formulation of the present invention and $n=4$ for the commercially available formulation, Sandimmun Neoral™ (Sandoz).

This human study clearly indicates the efficacy of the formulation of the present invention as compared to the best commercially available formulation, Sandimmun Neoral™ (Sandoz). The formulation of the present invention is clearly superior to this commercially available formulation as it provided a higher Cmax and AUC, with a significantly narrower standard deviation, indicating a lesser degree of variation between individual subjects.

Example 10
Methods of
Administration of Cyclosporins

A cyclosporin, such as Ciclosporin, can be administered to a subject in a number of ways, which are well known in the art. Hereinafter, the term "subject" refers to the human or lower animal to whom cyclosporin was administered. For example, administration may be done topically (including ophtalmically, vaginally, rectally, intranasally), orally, or parenterally, for example by intravenous drip or intraperitoneal, subcutaneous, or intramuscular injection.

Formulations for topical administration may include but are not limited to lotions, ointments, gels, creams, suppositories, drops, liquids, sprays and powders.

Compositions for oral administration include powders or granules, suspensions or solutions in water or non-aqueous media, sachets, capsules or tablets. Thickeners, diluents, flavorings, dispersing aids, emulsifiers or binders may be desirable.

Formulations for parenteral administration may include but are not limited to sterile aqueous solutions which may also contain buffers, diluents and other suitable additives.

Dosing is dependent on the severity of the symptoms and on the responsiveness of the subject to cyclosporin. Persons of ordinary skill in the art can easily determine optimum dosages, dosing methodologies and repetition rates.

Example 11
Methods of Treatment with Cyclosporins

Cyclosporins are particularly noted for the treatment and prevention of organ or tissue transplant rejection, for the treatment and prevention of autoimmune disease and of inflammatory conditions, and for the treatment of multi-drug resistance (MDR).

With regard to the treatment and prevention of organ or tissue transplant rejection, the compositions of the present invention containing cyclosporin are useful for the treatment of the recipients of heart, lung, combined heart-lung,

liver, kidney, pancreatic, bone-marrow, skin or corneal transplants, and in particular allogenic transplants, for example. In addition, the compositions of the present invention are useful for the prevention of graft-versus-host-disease, which can sometimes be seen following bone marrow transplantation.

With regard to the treatment and prevention of autoimmune disease and of inflammatory conditions, the compositions of the present invention containing cyclosporin may be useful for the treatment of autoimmune hematological disorder (including hemolytic anemia, aplastic anemia, pure red cell anemia and idiopathic thrombocytopenia), systemic lupus erythematosus, polychondritis, scleroderma, Wegener granulamatosis, dermatomyositis, chronic active hepatitis, myasthenia gravis, psoriasis, Steven-Johnson syndrome, idiopathic sprue, autoimmune inflammatory bowel disease (such as ulcerative colitis and Crohn's disease), endocrine opthalmopathy, Graves disease, sarcoidosis, multiple sclerosis, primary billiary cirrhosis, juvenile diabetes (diabetes mellitus type I), uveitis (anterior and posterior), keratoconjunctivitis sicca and vernal keratoconjunctivitis, interstitial lung fibrosis, psoriatic arthritis and glomerulonephritis (with and without nephrotic syndrome, such as idiopathic nephrotic syndrome or minimal change nephropathy).

In addition, these compositions may be particularly useful for inflammatory conditions with an etiology including an autoimmune component such as arthritis (for example, rheumatoid arthritis, arthritis chronica progrediente and arthritis deformans) and rheumatic diseases.

With regard to multi-drug resistance (MDR), the compositions of the present invention containing cyclosporin may be useful for reversing or abrogating anti-neoplastic agent resistance in tumors and the like.

The following examples are illustrations only of methods of treating these disorders with the compositions of the present invention containing cyclosporin, and are not intended to be limiting.

The method includes the step of administering the composition of the present invention containing cyclosporin, as described in Example 10 above, to a subject to be treated. The composition of the present invention is administered according to an effective dosing methodology, preferably until a predefined endpoint is reached (if possible), such as the absence of symptoms of the disorder in the subject. For other disorders, such as organ or tissue transplant rejection, the composition of the present invention may need to be administered continuously without any endpoint.

Hereinafter, the term "treatment" includes both pretreatment, before a pathological condition has arisen, and treatment after the condition has arisen. The term "treating" includes both treating the subject after the pathological condition has arisen, and preventing the development of the pathological condition.

While the invention has been described with respect to a limited number of embodiments, it will be appreciated that many variations, modifications and other applications of the invention may be made.

WHAT IS CLAIMED IS:

1. A composition for administering a cyclosporin compound, the composition comprising:

(a) a preconcentrate nanodispersion characterized by being capable of forming, upon contact with an aqueous solution, particles of a size of less than about 100 nm, said preconcentrate nanodispersion comprising:

(i) at least one surfactant; and

(ii) a hydrophilic solvent characterized by being a lower alkyl ester of hydroxyalkanoic acid; and

(b) a pharmaceutically effective amount of the cyclosporin compound.

2. The composition of claim 1, wherein said hydrophilic solvent includes a lower alkyl hydroxy alkanoic acid ester.

3. The composition of claim 2, wherein said lower alkyl hydroxy alkanoic acid ester includes ethyl lactate.

4. The composition of claim 1, wherein said hydrophilic solvent includes a lower alkyl N-alkyl pyrrolidone.

5. The composition of claim 4, wherein said lower alkyl N-alkyl pyrrolidone includes N-methyl pyrrolidone.

6. The composition of claim 1, wherein said hydrophilic solvent includes a combination of a lower alkyl ester of N-alkyl pyrrolidone and a lower alkyl hydroxy alkanoic acid ester.

7. The composition of claim 1, wherein said at least one surfactant is a combination of at least two surfactants, at least one surfactant of said combination being a high HLB (hydrophilic/lipophilic balance) surfactant having an HLB of at least about 8, and at least one surfactant of said combination being a low HLB surfactant having an HLB of less than about 5.

8. The composition of claim 7, wherein said combination is a combination of Tween 20 and Span 80.

9. The composition of claim 7, further comprising:

(c) an ethoxylated fat.

10. The composition of claim 9, wherein said ethoxylated fat is selected from the group consisting of polyethyleneglycol-hydrogenated castor oils.

11. The composition of claim 10, wherein said ethoxylated fat is selected from the group consisting of Cremophor EL, Cremophor RH 40 and Cremophor RH 60.

12. The composition of claim 9, further comprising:

(d) a phospholipid.

13. The composition of claim 12, wherein said phospholipid is egg phospholipid.

14. The composition of claim 12, further comprising:

(e) a fatty acid ester.

15. The composition of claim 14, wherein said fatty acid ester is tricaprin.

16. The composition of claim 1, wherein said particle size is less than about 50 nm.

17. The composition of claim 16, wherein said particle size is in a range of from about 5 nm to about 30 nm.

18. The composition of claim 1, wherein the cyclosporin compound is Ciclosporin.

19. A composition for administering a cyclosporin compound, the composition comprising a pharmaceutically effective amount of the composition of claim 1, and an aqueous solution as a diluent for said pharmaceutically effective amount of the composition of claim 1.

ABSTRACT OF THE DISCLOSURE

A formulation for the administration of a cyclosporin. This formulation features a hydrophilic solvent which is characterized by being a lower alkyl ester of hydroxyalkanoic acid; and a surfactant, preferably a combination of a surfactant with a high HLB (hydrophilic/lipophilic balance) of at least about 8 and a surfactant with a low HLB of less than about 5. Other ingredients are optional, such as a fatty acid ester such as tricaprin, a phospholipid, and an ethoxylated fat such as Cremophor or another similar substance. The preferred particle size of the resultant formulation is less than about 100 nm, more preferably less than about 50 nm, and most preferably from about 5 nm to about 30 nm. The formulation of the present invention is characterized by having high bioavailability.

Attorney Docket: 951/15
page 1 of 2

Combined Declaration For Patent Application and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PRECONCENTRATE NANODISPERSION FOR THE DELIVERY OF CYCLOSPORIN, the specification of which

(check one) ☒ is attached hereto.
☐ was filed on as Application Serial No. and was amended on .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
NA (number)	(Country)	(Day, Month, Year Filed)	☐ Yes ☐ No
(number)	(Country)	(Day, Month, Year Filed)	☐ Yes ☐ No
(number)	(Country)	(Day, Month, Year Filed)	☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

NA (Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)

I hereby appoint the following attorneys, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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Continuation of Combined Declaration For Patent Application and Power of Attorney

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application of any patent issued thereon.

*FULL NAME OF SOLE OR FIRST INVENTOR	INVENTOR'S SIGNATURE	DATE
ABRAHAM J. DOMB	Abraham J. Domb	28.12.98
RESIDENCE	CITIZENSHIP	
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POST OFFICE ADDRESS		
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*FULL NAME OF SECOND INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
	ISRAELI	
POST OFFICE ADDRESS		

*FULL NAME OF THIRD INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
	ISRAELI	
POST OFFICE ADDRESS		

*FULL NAME OF FOURTH INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
	ISRAELI	
POST OFFICE ADDRESS		

*FULL NAME OF FIFTH INVENTOR	INVENTOR'S SIGNATURE	DATE
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*FULL NAME OF SIXTH INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
	ISRAELI	
POST OFFICE ADDRESS		

*FULL NAME OF SEVENTH INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
	ISRAELI	
POST OFFICE ADDRESS		

SMALL BUSINESS CONCERN - NEW APPLICATION

Attorney Docket No.: 951/15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE Application of: ABRAHAM J. DOMB

Filed Concurrently Herewith

For: PRECONCENTRATE NANODISPERSION FOR THE DELIVERY OF CYCLOSPORIN

VERIFIED STATEMENT UNDER 37 CFR 1.27
CLAIMING STATUS AS A SMALL ENTITY

To The Commissioner of Patents and Trademarks:

I hereby declare that:

I am the owner of, or an official empowered to act on behalf of, the small business concern identified below:

Name of Concern: DEXCEL LTD.

Address : P.O. BOX 50, HADERA 38100, ISRAEL

The small business concern identified above, together with its affiliates, employs fewer than 500 persons and qualifies as a small business concern as defined in 37 CFR 1.9(d) for purposes of paying reduced fees under 35 USC § 41(a) and § 41(b) to the Patent and Trademark Office with regard to the above-entitled invention described in the specification filed herewith.

Rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention.

If the rights held by the small business concern are not exclusive, each other party having rights to the invention is listed below, and no rights to the invention are held by any party who could not qualify as a small entity under 37 CFR 1.9(f), namely any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Full Name (Party 1) : _____

Address : _____

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2) : _____

Address : _____

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the issue fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

Dan Orer — Name of Person Signing

D. Orer — Signature

Dec. 25, 98. — Date

Capacity of Person Signing: President

Address of Person Signing: 48 Hazorea str.
Kfar Shmaryahu 46910
ISRAEL.

INDEPENDENT INVENTOR - NEW APPLICATION

Attorney Docket No.: 951/15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In RE Application of: ABRAHAM J. DOMB

Filed Concurrently Herewith

For: PRECONCENTRATE NANODISPERSION FOR THE DELIVERY OF CYCLOSPORIN

VERIFIED STATEMENT UNDER 37 CFR 1.27
CLAIMING STATUS AS A SMALL ENTITY

To The Commissioner of Patents and Trademarks:

As a below named inventor, I hereby declare that:

I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under 35 USC § 41(a) and § 41(b) to the Patent and Trademark Office with regard to the above-entitled invention described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any party who could not qualify as a small entity under 37 CFR 1.9(f), namely any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each party, if any, who could qualify as a small entity under 37 CFR 1.9(f) and to whom I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license, any rights in the invention is listed below:

Full Name (Party 1) : DEXCEL LTD.

Address : P.O. BOX 53, HADERA 38100, ISRAEL

Status : ☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

Full Name (Party 2) : _____

Address : _____

Status : ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty under 37 CFR 1.28(b) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the issue fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

ABRAHAM J. DOMB	_____	_____
Name of Inventor 1	Name of Inventor 2	Name of Inventor 3
Abraham J. Domb	_____	_____
Signature of Inventor	Signature of Inventor	Signature of Inventor

Date: 28.12.98

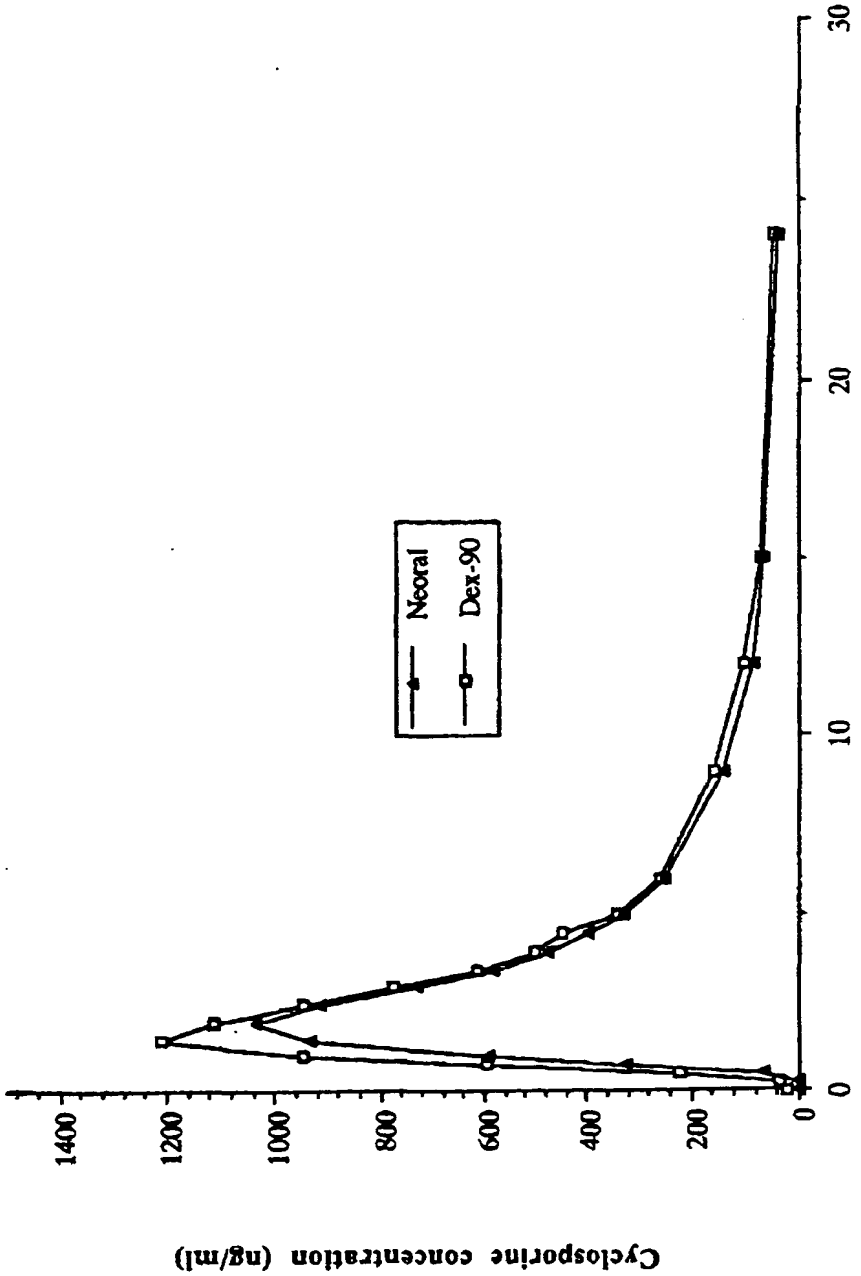
Cyclosporine concentration (ng/ml)
1400
1200
1000
800
600
400
200
0
0
10
20
30
Time (hours)
Neoral
Dex-90

Figure 1